

|  |                            |                               |                       |
|--|----------------------------|-------------------------------|-----------------------|
| LIST OF REFERENCES CITED BY APPLICANT<br>(Use several sheets if necessary) |                            | ATTY DOCKET NO.               | APPLICATION NO        |
|  |                            | 8676-041                      | <del>10/629,812</del> |
|  |                            | APPLICANT<br>Stephen A. Tarin |                       |
| FILING DATE<br><i>hereinbelow</i> 28 July 2003                             | GROUP<br><i>2172</i> 2 162 |                               |                       |

## U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL |     | DOCUMENT NUMBER | DATE       | NAME                     | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|-----|-----------------|------------|--------------------------|-------|----------|----------------------------|
| <i>SA</i>         | A01 | 6,018,734       | 01/25/00   | Zhang <i>et al.</i>      |       |          |                            |
|                   | A02 | 6,006,219       | 12/21/1999 | Rothschild               |       |          |                            |
|                   | A03 | 5,983,232       | 11/09/99   | Zhang                    |       |          |                            |
|                   | A04 | 5,966,709       | 10/12/99   | Zhang <i>et al.</i>      |       |          |                            |
|                   | A05 | 5,918,225       | 06/29/1999 | White <i>et al.</i>      |       |          |                            |
|                   | A06 | 5,838,965       | 11/1998    | Kavanagh <i>et al.</i>   |       |          |                            |
|                   | A07 | 5,797,136       | 08/1998    | Boyer <i>et al.</i>      |       |          |                            |
|                   | A08 | 5,794,229       | 08/11/1998 | French <i>et al.</i>     |       |          |                            |
|                   | A09 | 5,713,014       | 01/27/98   | Durflinger <i>et al.</i> |       |          |                            |
|                   | A10 | 5,701,461       | 12/1997    | Dalai <i>et al.</i>      |       |          |                            |
|                   | A11 | 5,692,178       | 11/1997    | Shaughnessy              |       |          |                            |
|                   | A12 | 5,675,779       | 10/07/1997 | Doktor                   |       |          |                            |
|                   | A13 | 5,649,181       | 07/15/97   | French <i>et al.</i>     |       |          |                            |
|                   | A14 | 5,611,076       | 03/11/97   | Durflinger <i>et al.</i> |       |          |                            |
|                   | A15 | 5,592,667       | 01/07/97   | Bugajski                 |       |          |                            |
|                   | A16 | 5,560,006       | 09/24/96   | Layden <i>et al.</i>     |       |          |                            |
|                   | A17 | 5,555,388       | 09/1996    | Shaughnessy              |       |          |                            |
|                   | A18 | 5,546,575       | 08/13/1996 | Potter <i>et al.</i>     |       |          |                            |
|                   | A19 | 5,495,608       | 02/27/96   | Antoshenkov              |       |          |                            |
|                   | A20 | 5,414,834       | 05/09/95   | Alexander <i>et al.</i>  |       |          |                            |
|                   | A21 | 5,412,797       | 05/02/95   | Rubin                    |       |          |                            |
|                   | A22 | 5,276,868       | 01/04/94   | Poole                    |       |          |                            |
|                   | A23 | 5,201,046       | 04/06/93   | Goldberg <i>et al.</i>   |       |          |                            |
|                   | A24 | 5,010,478       | 04/23/91   | Deran                    |       |          |                            |
|                   | A25 | 4,858,146       | 08/1989    | Shebini                  |       |          |                            |
|                   | A26 | 4,479,196       | 10/23/84   | Ferrer <i>et al.</i>     |       |          |                            |
| <i>SA</i>         | A27 | 4,468,732       | 08/28/84   | Raver                    |       |          |                            |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|--|-----------------|------|---------|-------|----------|-------------|
|  |                 |      |         |       |          | YES         |
|  |                 |      |         |       |          | NO          |
|  | B01             |      |         |       |          |             |
|  | B02             |      |         |       |          |             |

OTHER REFERENCES (*Including Author, Title, Date, Pertinent Pages, Etc.*)

|           |     |  |
|-----------|-----|--|
| <i>SA</i> | C01 | "ERDB Entity-Relation Database," ERDB Website and ERDB Manual, www.ataindy.com, copyright 1998, Automated Technology |
|-----------|-----|--|

|           |  |
|-----------|--|
| <i>SA</i> | Associates   |
| <i>SA</i> | C02 "Monet Source Code," dated Jan. 8, 1996, as appears on <a href="http://www.cwi.nl/~monet/srcdoc.html">www.cwi.nl/~monet/srcdoc.html</a> as of Feb. 5, 1999   |
|           | C03 "Monet Performance," <a href="http://www.cwi.nl/~monet/performance.html">www.cwi.nl/~monet/performance.html</a> , last modified Sept. 20, 1995   |
|           | C04 Boncz <i>et al.</i> , "The Drill Down Benchmark," <a href="http://www.cwi.nl/~monet/papers.html">www.cwi.nl/~monet/papers.html</a> , appears in Proceedings of the 24 <sup>th</sup> VLDB Conference New York, USA, 1998  |
|           | C05 Boncz <i>et al.</i> , "Flattening an Object Algebra to Provide Performance," <a href="http://www.cwi.nl/~monet/papers.html">www.cwi.nl/~monet/papers.html</a> , appears in 14 <sup>th</sup> International Conference on Data Engineering, Feb. 23-27, 1998, Orlando, Florida, USA                            |
|           | C06 Boncz <i>et al.</i> , "Monet and Its Geographic Extensions: a Novel Approach to High Performance GIS Processing," as appears on <a href="http://www.cwi.nl/~monet/papers.html">www.cwi.nl/~monet/papers.html</a> as of Feb. 5, 1999  |
|           | C07 Boncz <i>et al.</i> , "Monet An Impressionist Sketch of an Advanced Database System," <a href="http://www.cwi.nl/~monet/papers.html">www.cwi.nl/~monet/papers.html</a> , appears in Basque International Workshop on Information Technology, Data Management Systems, San Sebastian, Spain, July 19-21, 1995 |
|           | C08 van den Berg <i>et al.</i> , "Monet Meets 007," Nov. 3, 1995, as appears on <a href="http://www.cwi.nl/~monet/papers.html">www.cwi.nl/~monet/papers.html</a> as of Feb. 5, 1999  |
|           | C09 Kersten <i>et al.</i> , "A Data Cube Algebra Engine for Data Mining," as appears on <a href="http://www.cwi.nl/~monet/papers.html">www.cwi.nl/~monet/papers.html</a> as of Feb. 5, 1999  |
|           | C10 van den Berg, "Dynamic Query Processing in a Parallel Object-Oriented Database System," Ph.D. Thesis, published at CWI, 1994, as appears on <a href="http://www.cwi.nl/~monet/papers.html">www.cwi.nl/~monet/papers.html</a> as of Feb. 5, 1999  |
|           | C11 van den Berg <i>et al.</i> , "Analysis of a Dynamic Query Optimization Technique for Multi-Join Queries," as appears on <a href="http://www.cwi.nl/~monet/papers.html">www.cwi.nl/~monet/papers.html</a> as of Feb. 5, 1999  |
|           | C12 Angara website, <a href="http://www.angara.com">www.angara.com</a> , copyright 1998, Angara Database Systems, Inc.   |
|           | C13 Cache website, <a href="http://www.intersys.com">www.intersys.com</a> , copyright 1996, 1997, 1998, InterSystems Corporation   |
|           | C14 "The Dali Main-Memory Storage Manager," Dali website, <a href="http://www.bell-labs.com/project/dali">www.bell-labs.com/project/dali</a> , copyright 1996, as appears on the website as of Feb. 5, 1999  |
|           | C15 Jagadish <i>et al.</i> , "Dali: A High Performance Main Memory Storage Manager," <a href="http://www.bell-labs.com/project/dali">www.bell-labs.com/project/dali</a> , appears in Proceedings of the 20 <sup>th</sup> VLDB Conference Santiago, Chile, 1994   |
|           | C16 Bohannon <i>et al.</i> , "Recoverable User-Level Mutual Exclusion," as appears on <a href="http://www.bell-labs.com/project/dali">www.bell-labs.com/project/dali</a> as of Feb. 5, 1999  |
|           | C17 Bohannon <i>et al.</i> , "Logical and Physical Versioning in Main Memory Databases," <a href="http://www.bell-labs.com/project/dali">www.bell-labs.com/project/dali</a> , appears in Proceedings of the Conference on Very Large Database, 1997  |
|           | C18 "Times Ten Performance Software," Times Ten website, <a href="http://www.timesten.com">www.timesten.com</a> , copyright 1997, 1998   |
|           | C19 "Titanum Database Engine Technical Synopsis" copyright 1998, Micro Data Base Systems, Inc.   |
|           | C20 Herrin <i>et al.</i> , "Schema and Tuple Trees: An Intuitive Structure for Representing Relational Data," <a href="http://www.hsd1.com/qddb/article2">www.hsd1.com/qddb/article2</a> , appears in Computing Systems, vol. 9, no. 2, copyright 1996 by the USENIX Association                                 |
|           | C21 Herrin <i>et al.</i> , "An ASCII Database for Fast Queries of Relatively Stable Data," <a href="http://www.hsd1.com/qddb/article2">www.hsd1.com/qddb/article2</a> , appears in Computing Systems, vol. 4, no. 2, copyright 1991 by the Regents of the University of California                               |
|           | C22 Date, C. J., "An Introduction to Database Systems," 3 <sup>rd</sup> Ed., pp.33-61, Addison-Wesley Publishing Company   |
|           | C23 Date, C.J., August, 1995, "An Introduction to Database Systems," 6 <sup>th</sup> Ed., pp. 1-51, 710-760, Addison-Wesley Publishing Company   |
|           | C24 Batory, D.S., "On Searching Transposed Files," ACM TRANSACTIONS ON DATABASE SYSTEMS, vol. 4, no. 4, December 1979, pp. 531-544   |
|           | C25 Batory <i>et al.</i> , "A Unifying Model of Physical Databases," ACM TRANSACTIONS ON DATABASE SYSTEMS, vol. 7, no. 4, December 1982, pp. 509-539   |
|           | C26 Eggers <i>et al.</i> , "Efficient Access of Compressed Data," IEEE, 1980, pp. 205-211  |
|           | C27 Eggers <i>et al.</i> , "A Compression Technique for Large Statistical Databases," IEEE, 1981, pp. 424-434  |
|           | C28 Valduriez, P., "Join Indices," ACM TRANSACTIONS ON DATABASE SYSTEMS, vol. 12, no. 2, June 1987, pp. 218-246  |
| <i>SA</i> | C29 Olken <i>et al.</i> , "Rearranging Data to Maximize the Efficiency of Compression," ACM, 1986, pp. 78-90   |

|          |               |                 |                |
|----------|---------------|-----------------|----------------|
| EXAMINER | <i>S Alam</i> | DATE CONSIDERED | <i>2/15/06</i> |
|----------|---------------|-----------------|----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.